

Department of Energy

Argonne Site Office 9800 South Cass Avenue Argonne, Illinois 60439

MAY 2 2 2009

Action to_	RNM
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Dr. Eric D. Isaacs Director, Argonne National Laboratory President, UChicago Argonne, LLC 9700 South Cass Avenue Argonne, IL 60439

Dear Dr. Isaacs:

SUBJECT: MODIFICATION NO. A043 TO U.S. DEPARTMENT OF ENERGY

CONTRACT DE-AC02-06CH11357

Enclosed for your records is a fully executed copy of the subject modification which appropriates ARRA funding, increasing the amount of funds obligated hereunder by \$35,000,000.00 from \$1,693,341,097.93 to a total of \$1,728,341,097.93.

This modification further sets forth specific guidelines for implementing ARRA funding and work.

Also enclosed is FY 2009 Approved Funding Program (AFP) No. 12 which depicts funding adjustments in the follow category:

Operating Funds are increased by \$35,000,000.00.

If you have questions or are in need of additional information, please contact me at 630/252-2075.

Sincerely,

Sergio E. Martinez

Contracting Officer

Enclosures:

Form SF-30 (Amendment of Solicitation/Modification of Contract), Mod A043 FY 2009 Approved Funding Program No. 12

CC:

- R. Zimmer, UofC, w/encls.
- D. Levy, UofC, w/encls.
- J. Kroll, UofC, w/encls.
- L. Hill, UofC/ANL, w/encls.
- G. McKeown, UofC, w/encls.
- L. Miller, ANL, w/encls.
- M. Besancon, ANL, w/encls.
- C. Markiewicz, ANL, w'encls.
- S. Richardson, ANL, w/encls.
- R. Malhotra, ANL, w/encls.
- M. Howard, ANL, w/encls.
- D. Bugielski, ANL, w/encls.

						Invos o	E DAGEO
AMENDMENT OF SOLICI	TATION/MODIFICA				TRACT ID CODE	1	F PAGES
2. AMENDMENT/MODIFICATION NO.	3. EFFECTIVE DATE	4. REQUISITION/PI	URCHASE	EREQ.	5. PROJECT NO.	. (If applica	ble)
A043	05/22/2009	N/A N/A			Ά.		
6. ISSUED BY CODE		7. ADMINISTERED BY (If other than Item 6) Code					
U.S. Department of Energy Office of Science/Argonne 9800 South Cass Avenue Argonne, IL 60439		See B	lock 6.				
8. NAME AND ADDRESS OF CONTRACT	TOR (No. street, county, Sta	ate and ZIP Code)	(4)	9.A. AMEN	IDMENT OF SOLIC	NOITATIC	NO.
UChicago Argonne,							•
5801 South Ellis Ave	enue			9.B. DATE	D (SEE ITEM 11)		
Chicago, IL 60637		•	-		OF CONTRACTOR OF		er NO.
] [ED (SEE ITEM 13)		
CODE N/A	FACILITY CODE N/A				July 31, 2006		 .
11. THIS I	TEM ONLY APPLIES	TO AMENDMENT	SOFS	OLICITAT	IONS		
SPECIFIED MAY RESULT IN REJECTION change may be made by telegram or letter, to the opening hour and date specified. 12. ACCOUNTING AND APPROPRIATION	provided each telegram or	letter makes reference	to the sol	licitation and	e an offer already s this amendment, a	ubmitted, s nd is recei	such ved prior
13. THIS	ITEM APPLIES ONLY TO				RS,		
IT MO A. THIS CHANGE ORDER IS ISSU CONTRACT ORDER NO. IN ITE		ORDER NO. AS DESecify authority) THE CH	CRIBED II ANGES S	NITEM 14. SET FORTH	IN ITEM 14 ARE M	IADE IN TI	HE
B. THE ABOVE NUMBERED CON paying office, appropriation date,	TRACT/ORDER IS MODIF etc.) SET FORTH IN ITEM	M 14, PURSUANT TO	THE AUT	HORITY OF	CHANGES (such as FAR 43.103(B).	s changes	in
C. THIS SUPPLEMENTAL AGREE		ent of the Parties) - :		,	
D. OTHER (Specify type of modifica		•					
E. IMPORTANT: Contractor is req	uired to sign this docu	ment and return s	igned co	pies to D	OE.		
14. DESCRIPTION OF AMENDMENT/MO feasible.)	DIFICATION (Organized by	y UCF section heading	, including	solicitation/	contract subject ma	itter where	
		•			•		1 1
See	pages attached	to this modif	icatio	n.			

15A. NAME AND TITLE OF SIGNER (Type or p	rint)	16A. NAME AND TITLE OF CONTRACTING	GOFFICER (Type or print)
	_	Sergio E. Martinez	
Donald H. Levy, UP Bullsen	mehr Natillabs	Contracting Officer	
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED
BY: J. H. J. (Signature of person authorized to sign	3/22/09	BY: (Signature of Contracting Officer)	5/22/09

Block 12 "ACCOUNTING AND APPROPRIATIONS DATA, continued.

Funds Obligated for Recovery Act Projects:

All required accounting and appropriations data, including the Treasury Accounting Symbol and Fund Code is hereby provided as Attachment A to this modification.

Block 14 "DESCRIPTION OF AMENDMENT/MODIFICATION," continued.

- A. This modification is issued to obligate American Recovery and Reinvestment Act (Recovery Act) of 2009 funds for the purpose of: (1) Decontamination (as required) and demolition of two rad-contaminated facilities and offsite disposal of all associated wastes, Building 310 and Building 330; (2) Continuation of TRU waste disposal from the site with implementation of "next phase" RH and CH TRU disposal project including the characterization; preparation and packaging of TRU waste for either direct disposal at WIPP (for RH) or transfer to INL prior to disposal at WIPP (for CH); and (3) removal and disposition of non-TRU stored nuclear materials and wastes in the Building 212 Alpha Gamma Hot Cell Facility (AGHCF)
- B. Accordingly, pursuant to Section I Contract Clause DEAR 970.5232-4 entitled "Obligation of Funds," funds in the amount of \$35,000,000.00 are hereby obligated in support of Recovery Act activities. The total amount of funds obligated under this contract since its inception is increased from \$1,693,341,097.93 to \$1,728,341,097.93.
- C. The specific Contractor Recovery Act Statement of Work, Milestones, Outcomes and Measures, and Deliverables funded by this modification are identified in the following referenced Work Authorization:

Work Authorization Number	Work Authorization Title
(FE/CH12/09/ARRA)	Argonne National Laboratory (ANL) – Accelerated Cleanup of Surplus Nuclear Facilities Project (PIN 2002000)

- D. The work described in this modification shall be performed using funds obligated under this contract, which have been appropriated under the Recovery Act of 2009, Pub. L. 111-5, and as such, is subject to the special statutory conditions and the additional contractual terms and conditions that are listed in paragraphs E through I below. The funds obligated hereunder shall only be used to accomplish the work as set forth in paragraph C. above and may not be used for any other purpose without the prior written consent of the Contracting Officer.
- E. FUNDING CONSTRAINT: UChicago Argonne, LLC, hereinafter, the Contractor, is to begin work immediately. However, the Contractor is authorized to incur costs not to exceed 30% (\$10,500,000.00) of the initial funding placed on the contract for Recovery Act. The Contractor shall notify the Contracting Officer 30 calendar days prior to costing 30% (\$10,500,000.00) of the initial funding placed on the contract for Recovery Act.

Once DOE has decided whether or not the Recovery Act work should continue, the Contracting Officer shall either notify the contractor by letter authorizing the Contractor to proceed or will notify the Contractor in sufficient time to allow orderly cessation of work.

- F. The contractor shall complete all Recovery Act Work funded by this modification in accordance with Recovery Act requirements, including the required completion dates specified therein, and by the completion date identified in the approved work authorization for the activity.
- G. Section E, Inspection and Acceptance is amended as follow:

Paragraph E.2, previously incorporated under M041 is deleted in its entirety and replaced with the following Paragraph E.2. which is applicable only to the Recovery Act work:

Certification -

In order for the Contracting Officer to accept any products or services funded by the Recovery Act, the Contractor shall certify that the items were delivered and/or work was performed for a purpose authorized under the Recovery Act.

- H. Section G, Contract Administration Data is amended as follows:
 - (1) Paragraph G.4, previously incorporated under M041 is deleted in its entirety and replaced with the following paragraph G.4 which is applicable only to the Recovery Act work:

The following reporting procedure will apply to submission of monthly cost reports for Recovery Act work specified in the work scope baseline.

- (a) The contractor will separately identify costs that pertain to the Recovery Act work. The Contractor will provide a monthly report that identifies the total amount drawn on the letter of credit. The Contractor shall submit a monthly report that separates and identifies Recovery Act costs associated with each appropriation at the Recovery Act program and project levels.
- (b) The Contractor shall certify in each monthly report that the costs included in the report for Recovery Act work were incurred only to accomplish the Recovery Act work in accordance with the work scope.
- (2) Paragraph G.5 previously incorporated under M041 is deleted in its entirety and replaced with the following paragraph G.5 which is applicable only to the Recovery Act work:

Indirect Charges

In accordance with the general principles of the Recovery Act the Contractor must take the following steps to minimize the impacts of indirect costs and enhance transparency and accountability of project:

- (a) Clearly identify the estimated full cost of projects to include total direct and indirect costs, indirect costs rates, and adjust existing indirect cost rate to account for the material infusion of funds provided in the Recovery Act;
- (b) Exempt funds from contract cost base for distributing Laboratory Directed Research and Development or similar funds taxing programs;
- (c) Ensure all funds transferred by the Contractor are completed using the Approved Funding Program process described in Chapter 12 of the Accounting Handbook;
- (d) The Federal Administrative Charge (FAC) of three percent is waived on reimbursable work funded by the Recovery Act and performed by Departmental Federal offices or UChicago Argonne, LLC; and
- (e) In all cases listed above and otherwise, the Contractor shall develop and maintain prudent management and good business practices regarding their indirect rate structure as it applies to Recovery Act funding.
- I. The following Clause H.41 Special provisions relating to work funded under American Recovery and Reinvestment Act of 2009 (Apr 2009), attached hereto and made a part hereof, replaces the Clause H.41 Special provisions relating to work funded under American Recovery and Reinvestment Act of 2009 (Feb 2009), previously incorporated into this agreement under Modification No. M041.

H.41 Special provisions relating to work funded under American Recovery and Reinvestment Act of 2009 (Apr 2009)

Preamble:

Work performed under this contract will be funded, in whole or in part, with funds appropriated by the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5, (Recovery Act or Act). The Recovery Act's purposes are to stimulate the economy and to create and retain jobs. The Act gives preference to activities that can be started and completed expeditiously, including a goal of using at least 50 percent of the funds made available by it for activities that can be initiated not later than June 17, 2009.

Contractors should begin planning activities for their first tier subcontractors, including obtaining a DUNS number (or updating the existing DUNS record), and registering with the Central Contractor Registration (CCR).

Be advised that Recovery Act funds can be used in conjunction with other funding as necessary to complete projects, but tracking and reporting must be separate to meet the reporting requirements of the Recovery Act and related Guidance. For projects funded by sources other than the Recovery Act, Contractors should plan to keep separate records for Recovery Act funds and to ensure those records comply with the requirements of the Act.

The Government has not fully developed the implementing instructions of the Recovery Act, particularly concerning the how and where for the new reporting requirements. The Contractor will be provided these details as they become available. The Contractor must comply with all requirements of the Act. If the contractor believes there is any inconsistency between ARRA requirements and current contract requirements, the issues will be referred to the Contracting Officer for reconciliation.

Be advised that special provisions may apply to projects funded by the Act relating to:

- · Reporting, tracking and segregation of incurred costs;
- · Reporting on job creation and preservation;
- Publication of information on the Internet;
- Protecting whistleblowers; and
- Requiring prompt referral of evidence of a false claim to the Inspector General.

Definitions:

For purposes of this clause, "Covered Funds" means funds expended or obligated from appropriations under the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5. Covered Funds will have special accounting codes and will be identified as Recovery Act funds in the contract and/or modification using Recovery Act funds. Covered Funds must be reimbursed by September 30, 2015.

Non-Federal employer means any employer with respect to Covered Funds – the contractor or subcontractor, as the case may be, if the contractor or subcontractor is an employer; and any professional membership organization, certification of other professional body, any agent or licensee of the Federal government, or any person acting directly or indirectly in the interest of an employer receiving Covered Funds; or with respect to Covered Funds received by a State or local government, the State or local government receiving the funds and any contractor or subcontractor of the State or local government; and does not mean any department, agency, or other entity of the federal government.

A. Flow Down Provision

This clause must be included in every first-tier subcontract.

B. <u>Segregation and Payment of Costs</u>

Contractor must segregate the obligations and expenditures related to funding under the Recovery Act. Financial and accounting systems should be revised as necessary to segregate, track and maintain these funds apart and separate from other revenue streams. No part of the funds from the Recovery Act shall be commingled with any other funds or used for a purpose other than that of making payments for costs allowable for Recovery Act projects. Where Recovery Act funds are authorized to be used in conjunction with other funding to complete projects, tracking and reporting must be separate from the original funding source to meet the reporting requirements of the Recovery Act and OMB Guidance.

Invoices must clearly indicate the portion of the requested payment that is for work funded by the Recovery Act.

Note: For contractors currently using drawdown on a letter of credit, the current procedure remains in effect and is used for Recovery Act activity in lieu of invoicing.

C. Prohibition on Use of Funds

None of the funds provided under this agreement derived from the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5, may be for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

D. Wage Rates

All laborers and mechanics employed by contractors and subcontractors on projects funded directly by or assisted in whole or in part by and through the Federal Government pursuant to the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5, shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code. With respect to the labor standards specified in this section, the Secretary of Labor shall have the authority and functions set forth in Reorganization Plan numbered 14 of 1950 (64 Stat. 1267, 5 U.S.C. App.) and section 3145 of title 40 United States Code. See http://www.dol.gov/esa/whd/contracts/dbra.htm.

E. Publication

Information about this agreement will be published on the Internet and linked to the website www.recovery.gov, maintained by the Accountability and Transparency Board (the Board). The Board may exclude posting contractual or other information on the website on a case-by-case basis when necessary to protect national security or to protect information that is not subject to disclosure under sections 552 and 552a of title 5, United States Code.

F. Registration requirements

Contractor shall ensure that all first-tier subcontractors have a DUNS number and are registered in the Central Contractor Registration (CCR) no later than the date the first report is due under FAR 52.204-11 American Recovery and Reinvestment Act – Reporting Requirements.

G. <u>Utilization of Small Business</u>

Contractor shall to the maximum extent practicable give a preference to small business in the award of subcontracts for projects funded by Recovery Act dollars.

- J. Section I, Contract Clauses is amended as follows:
 - (1) Add Clause I.8C FAR 52.203-15 Whistleblower Protections Under the American Recovery and Reinvestment Act of 2009, as follows:
 - I.8C FAR 62.203-15 Whistleblower Protections Under the American Recovery and Reinvestment Act of 2009 (Mar 2009)
 - (a) The Contractor shall post notice of employees rights and remedies for whistleblower protections provided under section 1553 of the American Recovery and Reinvestment Act of 2009 (Pub. L. 111-5).
 - (b) The Contractor shall include the substance of this clause including this paragraph (b) in all subcontracts.
 - (2) Add Clause I.10B FAR 52.204-11 American Recovery and Reinvestment Act Reporting Requirements, as follows:
 - I.10B FAR 52.204-11 <u>American Recovery and Reinvestment Act--Reporting Requirements</u> (MAR 2009)
 - (a) Definitions. As used in this clause--

Contract, as defined in FAR 2.101, means a mutually binding legal relationship obligating the seller to furnish the supplies or services (including construction) and the buyer to pay for them. It includes all types of commitments that obligate the Government to an expenditure of appropriated funds and that, except as otherwise authorized, are in writing. In addition to bilateral instruments, contracts include (but are not limited to) awards and notices of awards; job orders or task letters issued under basic ordering agreements; letter contracts; orders, such as purchase orders, under which the contract becomes effective by written acceptance or performance; and bilateral contract modifications. Contracts do not include grants and cooperative agreements covered by 31 U.S.C. 6301, et seq. For discussion of various types of contracts, see FAR Part 16.

First-tier subcontract means a subcontract awarded directly by a Federal Government prime contractor whose contract is funded by the Recovery Act.

Jobs created means an estimate of those new positions created and filled, or previously existing unfilled positions that are filled, as a result of funding by the American Recovery and Reinvestment Act of 2009 (Recovery Act). This definition covers only prime contractor positions established in the United States and outlying areas (see definition in FAR 2.101). The number shall be expressed as ``full-time equivalent" (FTE), calculated cumulatively as all hours worked divided by the total number of hours in a full-time schedule, as defined by the contractor. For instance, two full-time employees and one part-time employee working half days would be reported as 2.5 FTE in each calendar quarter.

Jobs retained means an estimate of those previously existing filled positions that are retained as a result of funding by the American Recovery and Reinvestment Act of 2009 (Recovery Act). This definition covers only prime contractor positions established in the United States and outlying areas (see definition in FAR 2.101). The number shall be expressed as "full-time equivalent" (FTE), calculated cumulatively as all hours worked divided by the total number of hours in a full-time schedule, as defined by the contractor. For instance, two full-time employees and one part-time employee working half days would be reported as 2.5 FTE in each calendar quarter.

Total compensation means the cash and noncash dollar value earned by the executive during the contractor's past fiscal year of the following (for more information see 17 CFR 229.402(c)(2)):

- Salary and bonus.
- (2) Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
- (3) Earnings for services under non-equity incentive plans. Does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
- (4) Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- (5) Above-market earnings on deferred compensation which is not tax-qualified.
- (6). Other compensation. For example, severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property if the value for the executive exceeds \$10,000.
- (b) This contract requires the contractor to provide products and/or services that are funded under the American Recovery and Reinvestment Act of 2009 (Recovery Act). Section 1512(c) of the Recovery Act requires each contractor to report on its use of Recovery Act funds under this contract. These reports will be made available to the public.
- (c) Reports from contractors for all work funded, in whole or in part, by the Recovery Act, and for which an invoice is submitted prior to June 30, 2009, are due no later than July 10, 2009. Thereafter, reports shall be submitted no later than the 10th day after the end of each calendar quarter.
- (d) The Contractor shall report the following information, using the online reporting tool available at http://www.FederalReporting.gov.
 - (1) The Government contract and order number, as applicable.

- (2) The amount of Recovery Act funds invoiced by the contractor for the reporting period. A cumulative amount from all the reports submitted for this action will be maintained by the government's on-line reporting tool.
- (3) A list of all significant services performed or supplies delivered, including construction, for which the contractor invoiced in this calendar quarter.
- (4) Program or project title, if any.
- (5) A description of the overall purpose and expected outcomes or results of the contract, including significant deliverables and, if appropriate, associated units of measure.
- (6) An assessment of the contractor's progress towards the completion of the overall purpose and expected outcomes or results of the contract (i.e., not started, less than 50 percent completed, completed 50 percent or more, or fully completed). This covers the contract (or portion thereof) funded by the Recovery Act.
- (7) A narrative description of the employment impact of work funded by the Recovery Act. This narrative should be cumulative for each calendar quarter and only address the impact on the contractor's workforce. At a minimum, the contractor shall provide--
 - (i) A brief description of the types of jobs created and jobs retained in the United States and outlying areas (see definition in FAR 2.101). This description may rely on job titles, broader labor categories, or the contractor's existing practice for describing jobs as long as the terms used are widely understood and describe the general nature of the work; and
 - (ii) An estimate of the number of jobs created and jobs retained by the prime contractor, in the United States and outlying areas. A job cannot be reported as both created and retained.
- (8) Names and total compensation of each of the five most highly compensated officers of the Contractor for the calendar year in which the contract is awarded if--
 - (i) In the Contractor's preceding fiscal year, the Contractor received-
 - (A) 80 percent or more of its annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements; and
 - (B) \$25,000,000 or more in annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements; and
 - (ii) The public does not have access to information about the compensation of the senior executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.

- (9) For subcontracts valued at less than \$25,000 or any subcontracts awarded to an individual, or subcontracts awarded to a subcontractor that in the previous tax year had gross income under \$300,000, the Contractor shall only report the aggregate number of such first tier subcontracts awarded in the quarter and their aggregate total dollar amount.
- (10) For any first-tier subcontract funded in whole or in part under the Recovery Act, that is over \$25,000 and not subject to reporting under paragraph 9, the contractor shall require the subcontractor to provide the information described in (i), (ix), (x), and (xi) below to the contractor for the purposes of the quarterly report. The contractor shall advise the subcontractor that the information will be made available to the public as required by section 1512 of the Recovery Act. The contractor shall provide detailed information on these first-tier subcontracts as follows:
 - (i) Unique identifier (DUNS Number) for the subcontractor receiving the award and for the subcontractor's parent company, if the subcontractor has a parent company.
 - (ii) Name of the subcontractor.
 - (iii) Amount of the subcontract award.
 - (iv) Date of the subcontract award.
 - (v) The applicable North American Industry Classification System (NAICS) code.
 - (vi) Funding agency.
 - (vii) A description of the products or services (including construction) being provided under the subcontract, including the overall purpose and expected outcomes or results of the subcontract.
 - (viii) Subcontract number (the contract number assigned by the prime contractor).
 - (ix) Subcontractor's physical address including street address, city, state, and country. Also include the nine-digit zip code and congressional district if applicable.
 - (x) Subcontract primary performance location including street address, city, state, and country. Also include the nine-digit zip code and congressional district if applicable.
 - (xi) Names and total compensation of each of the subcontractor's five most highly compensated officers, for the calendar year in which the subcontract is awarded if--
 - (A) In the subcontractor's preceding fiscal year, the subcontractor received--

- (1) 80 percent or more of its annual gross revenues in Federal contracts (and subcontracts), loans, grants (and subgrants), and cooperative agreements; and
- (2) \$25,000,000 or more in annual gross revenues from Federal contracts (and subcontracts), loans, grants (and subgrants), and cooperative agreements; and
- (B) The public does not have access to information about the compensation of the senior executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.
- (3) Add Clause I.47A FAR 52.225-21 Required Use of American Iron, Steel, and Other Manufactured Goods—Buy American Act—Construction Materials with additional introductory applicability statement, as follows:

The following clause is only applicable to projects funded by the Recovery Act:

I.47A - FAR 52.225-21--Required Use of American Iron, Steel, and Other Manufactured
Goods--Buy American Act--Construction Materials (Mar
2009)

(a) Definitions. As used in this clause—

"Construction material" means an article, material, or supply brought to the construction site by the Contractor or a subcontractor for incorporation into the building or work. The term also includes an item brought to the site preassembled from articles, materials, or supplies. However, emergency life safety systems, such as emergency lighting, fire alarm, and audio evacuation systems, that are discrete systems incorporated into a public building or work and that are produced as complete systems, are evaluated as a single and distinct construction material regardless of when or how the individual parts or components of those systems are delivered to the construction site. Materials purchased directly by the Government are supplies, not construction material.

"Domestic construction material" means-

- (1) An unmanufactured construction material mined or produced in the United States; or
- (2) A construction material manufactured in the United States.

"Foreign construction material" means a construction material other than a domestic construction material.

"Manufactured construction material" means any construction material that is not unmanufactured construction material.

May 22, 2009 Modification No. A043 Supplemental Agreement to Contract No. DE-AC02-06CH11357

"Steel" means an alloy that includes at least 50 percent iron, between .02 and 2 percent carbon, and may include other elements.

"United States" means the 50 States, the District of Columbia, and outlying areas.

"Unmanufactured construction material" means raw material brought to the construction site for incorporation into the building or work that has not been—

- (1) Processed into a specific form and shape; or
- (2) Combined with other raw material to create a material that has different properties than the properties of the individual raw materials.
- (b) Domestic preference.
 - (1) This clause implements—
 - (i) Section 1605 of the American Recovery and Reinvestment Act of 2009 (Recovery Act) (Pub. L. 111-5), by requiring, unless an exception applies, that all iron, steel, and other manufactured goods used as construction material in the project are produced in the United States; and
 - (ii) The Buy American Act (41 U.S.C. 10a-10d) by providing a preference for unmanufactured domestic construction material.
 - (2) The Contractor shall use only domestic construction material in performing this contract, except as provided in paragraph (b)(3) and (b)(4) of this clause.

(3)	This requirement does not apply to the construction material or
(0)	components listed by the Government as follows:
	00111p0x12110 1-2104 ty

None	
	'

[Contracting Officer to list applicable excepted materials or indicate "none"]

- (4) The Contracting Officer may add other foreign construction material to the list in paragraph (b)(3) of this clause if the Government determines that—
 - (i) The cost of domestic construction material would be unreasonable.
 - (A) The cost of domestic iron, steel, or other manufactured goods used as construction material is unreasonable when the cumulative cost of such material will increase the cost of the contract by more than 25 percent;
 - (B) The cost of unmanufactured construction material is unreasonable when the cost of such material exceeds the cost of foreign material by more than 6 percent;

May 22, 2009 Modification No. A043 Supplemental Agreement to Contract No. DE-AC02-06CH11357

- (ii) The construction material is not mined, produced, or manufactured in the United States in sufficient and reasonably available quantities and of a satisfactory quality; or
- (iii) The application of the restriction of section 1605 of the Recovery Act or the Buy American Act to a particular construction material would be inconsistent with the public interest.
- (c) Request for determination of inapplicability of Section 1605 of the Recovery Act or the Buy American Act.
 - (1) (i) Any Contractor request to use foreign construction material in accordance with paragraph (b)(4) of this clause shall include adequate information for Government evaluation of the request, including—
 - (A) A description of the foreign and domestic construction materials;
 - (B) Unit of measure;
 - (C) Quantity;
 - (D) Cost;
 - (E) Time of delivery or availability;
 - (F) Location of the construction project;
 - (G) Name and address of the proposed supplier; and
 - (H) A detailed justification of the reason for use of foreign construction materials cited in accordance with paragraph (b)(4) of this clause.
 - (ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed cost comparison table in the format in paragraph (d) of this clause.
 - (iii) The cost of construction material shall include all delivery costs to the construction site and any applicable duty.
 - (iv) Any Contractor request for a determination submitted after contract award shall explain why the Contractor could not reasonably foresee the need for such determination and could not have requested the determination before contract award. If the Contractor does not submit a satisfactory explanation, the Contracting Officer need not make a determination.
 - (2) If the Government determines after contract award that an exception to section 1605 of the Recovery Act or the Buy American Act applies and the Contracting Officer and the Contractor negotiate adequate consideration, the Contracting Officer will modify the contract to allow use of the foreign construction

material. However, when the basis for the exception is the unreasonable cost of a domestic construction material, adequate consideration is not less than the differential established in paragraph (b)(4)(i) of this clause.

- (3) Unless the Government determines that an exception to section 1605 of the Recovery Act or the Buy American Act applies, use of foreign construction material is noncompliant with section 1605 of the American Recovery and Reinvestment Act or the Buy American Act.
- (d) Data. To permit evaluation of requests under paragraph (c) of this clause based on unreasonable cost, the Contractor shall include the following information and any applicable supporting data based on the survey of suppliers:

Foreign and Domestic Construction Materials Cost Comparison

Construction material description	Unit of measure	Quantity	Cost (dollars) *
Item 1:			
Foreign construction material			
Domestic construction material			
Item 2			
Foreign construction material	Andrew V		
Domestic construction material			

[List name, address, telephone number, and contact for suppliers surveyed. Attach copy of response; if oral, attach summary.] [Include other applicable supporting information.]

*Include all delivery costs to the construction site.]

(4) Clause I.125 DEAR 970.5232-3, Accounts, Records, and Inspection (DEC 2000) is deleted in its entirety and replaced with DEAR 970.5232-3, Accounts, Records, and Inspection (JUN 2007)(DEVIATION).

Clause I.125 DEAR 970.5232-3 - <u>ACCOUNTS, RECORDS, AND INSPECTION (JUN 2007) (DEVIATION)</u>

(a) Accounts. The contractor shall maintain a separate and distinct set of accounts, records, documents, and other evidence showing and supporting: all allowable costs incurred; collections accruing to the contractor in connection with the work under this contract, other applicable credits, negotiated fixed amounts, and fee accruals under this contract; and the receipt, use, and disposition of all Government property coming into the possession of the contractor under this contract. The system of accounts employed by the contractor shall be satisfactory to DOE and in accordance with generally accepted accounting principles consistently applied.

- (b) Inspection and audit of accounts and records. All books of account and records relating to this contract shall be subject to inspection and audit by DOE or its designees in accordance with the provisions of Clause, Access to and ownership of records, at all reasonable times, before and during the period of retention provided for in paragraph (d) of this clause, and the contractor shall afford DOE proper facilities for such inspection and audit.
- Audit of subcontractors' records. The contractor also agrees, with respect to any subcontracts (including fixed-price or unit-price subcontracts or purchase orders) where, under the terms of the subcontract, costs incurred are a factor in determining the amount payable to the subcontractor of any tier, to either conduct an audit of the subcontractor's costs or arrange for such an audit to be performed by the cognizant government audit agency through the contracting officer.
- Disposition of records. Except as agreed upon by the Government and the (d) contractor, all financial and cost reports, books of account and supporting documents, system files, data bases, and other data evidencing costs allowable, collections accruing to the contractor in connection with the work under this contract, other applicable credits, and fee accruals under this contract, shall be the property of the Government, and shall be delivered to the Government or otherwise disposed of by the contractor either as the contracting officer may from time to time direct during the progress of the work or, in any event, as the contracting officer shall direct upon completion or termination of this contract and final audit of accounts hereunder. Except as otherwise provided in this contract, including provisions of Clause, Access to and ownership of records, all other records in the possession of the contractor relating to this contract shall be preserved by the contractor for a period of three years after final payment under this contract or otherwise disposed of in such manner as may be agreed upon by the Government and the contractor.
- (e) Reports. The contractor shall furnish such progress reports and schedules, financial and cost reports, and other reports concerning the work under this contract as the contracting officer may from time to time require.
- (f) Inspections. The DOE shall have the right to inspect the work and activities of the contractor under this contract at such time and in such manner as it shall deem appropriate.
- Subcontracts. The contractor further agrees to require the inclusion of provisions similar to those in paragraphs (a) through (g) and paragraph (h) of this clause in all subcontracts (including fixed-price or unit-price subcontracts or purchase orders) of any tier entered into hereunder where, under the terms of the subcontract, costs incurred are a factor in determining the amount payable to the subcontractor.
- (h) Comptroller General.
 - (1) The Comptroller General of the United States, or an authorized representative, shall have access to and the right to examine any of the

contractor's or subcontractor's directly pertinent records involving transactions related to this contract or a subcontract or a subcontract hereunder and to interview any current employee regarding such transactions.

- (2) This paragraph may not be construed to require the contractor or subcontractor to create or maintain any record that the contractor or subcontractor does not maintain in the ordinary course of business or pursuant to a provision of law.
- (3) Nothing in this contract shall be deemed to preclude an audit by the General Accounting Office of any transaction under this contract.
- (i) Internal audit. The contractor agrees to design and maintain an internal audit plan and an internal audit organization.
 - (1) Upon contract award, the exercise of any contract option, or the extension of the contract, the contractor must submit to the contracting officer for approval an Internal Audit Implementation Design to include the overall strategy for internal audits. The Audit Implementation Design must describe:
 - (i) The internal audit organization's placement within the contractor's organization and its reporting requirements;
 - (ii) The audit organization's size and the experience and educational standards of its staff;
 - (iii) The audit organization's relationship to the corporate entities of the contractor;
 - (iv) The standards to be used in conducting the internal audits;
 - (v) The overall internal audit strategy of this contract, considering particularly the method of auditing costs incurred in the performance of the contract;
 - (vi) The intended use of external audit resources;
 - (vii) The plan for audit of subcontracts, both pre-award and post-award; and
 - (viii) The schedule for peer review of internal audits by other contractor internal audit organizations, or other independent third party audit entities approved by the DOE contracting officer.
 - (2) By each January 31 of the contract performance period, the contractor must submit an annual audit report, providing a summary of the audit activities undertaken during the previous fiscal year. That report shall reflect the results of the internal audits during the previous fiscal year and

- the actions to be taken to resolve weaknesses identified in the contractor's system of business, financial, or management controls.
- (3) By each June 30 of the contract performance period, the contractor must submit to the contracting officer an annual audit plan for the activities to be undertaken by the internal audit organization during the next fiscal year that is designed to test the costs incurred and contractor management systems described in the internal audit design.
- (4) The contracting officer may require revisions to documents submitted under paragraphs (i)(1), (i)(2), and (i)(3) of this clause, including the design plan for the internal audits, the annual report, and the annual internal audits.
- Remedies. If at any time during contract performance, the contracting officer determines that unallowable costs were claimed by the contractor to the extent of making the contractor's management controls suspect, or the contractor's management systems that validate costs incurred and claimed suspect, the contracting officer may, in his or her sole discretion, require the contractor to cease using the special financial institution account in whole or with regard to specified accounts, requiring reimbursable costs to be claimed by periodic vouchering. In addition, the contracting officer, where he or she deems it appropriate, may: Impose a penalty under 970.5242-1, Penalties for unallowable costs; require a refund; reduce the contractor's otherwise earned fee; and take such other action as authorized in law, regulation, or this contract.
- K. All other terms and conditions remain unchanged.

END OF MODIFICATION

rptoo3 Page

CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CH11357 SUMMARY

BUDGET AUTHORITY (BA)

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		Beginning Uncosted	NEW BA			TOTAL AVAILABLE
	电点存储 医内侧 医马马氏 医阿里耳氏 医阿里耳氏试验检尿道 医阿克氏管 医克里氏 医二甲基苯甲甲基甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲甲	OBLIGATIONS	PREVIOUS	Cistage	2017年日本中の日本日本日本日本日本日本日本日本日本日本日本日本日本日本日本日本日本日本	***********
OPERATING EKPENSES CAPITAL EQUIPMENT CONSTRUCTION REIMBURGHALL WORK		106,210,391.31 17,266,788.31 9,658,458.26 77,480,835.30	367,803,716.17 12,959,980.58 18,626,615.00 43,535,005.84	35,000,000.00 0.00 0.00 0.00	402,803,716.17 12,959,980.58 18,626,615.00 43,535,005.84	509,014,107.48 30,226,768.89 28,285,073.26 121,015,841.14
	GRAND TOTAL	210,616,473.18	442,925,317.59	35,000,000.00	477,925,317.59	688,541,790.77

* Certification of Funds Availability:

Catherine Richardown 5-20-09

CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CHil357 HQ B&R CONTROL SUMMARY

		:	BU	DGET AUTHORITY (BA)		
		BEGINNING		NEW BA		TOTAL
APPROPRIATION YEAR FT HO BER CONTROL BUDGET REFEREN	CE NUMBER	UNCOSTED OBLIGATIONS	PREVIOUS	CHANGE	REVISED	AVAILABLE
						•
70X0900.3 2005 Information Analys LJ TD-53-00-00-0	is & Infrastructure Prote TD5300000	999.30	0.00	0.00	0.00	999.30
8909/100227 2009 Science, Science WO KG-09-00-00-0	Recovery Act KG0900000	0.00	13,100,000.00	0.00	13,100,000.00	13,100,000.00
8909/100335 2009 UQ FE-01-00-00-0	FE0100000, Small Sites	0.00	0.00	35,000,000.00	35,000,000.00	35,000,000.00
89X0213 2005 Fossil Energy Resear	ch and Development				0.00	8,599,77
JA AA-10-35-00-0	AA1035000 - Transportation Fuels and Chemicals	B,599.77	0.00 0.00	0.00	0.00	23,015,88
AA-15-20-00-0	AA1520000 - Technology Crosscut	23,015,88 21,01		0.00	0.00	21.01
AA-20-45-00-0	AA2045000 - Turbines	1,085.41	0.00	0.00	0.00	1,085.41
AB-05-40-00-0	AB0540000 - Exploration And Production	1,003.41	0.00	0.00	0.00	10.79
AB-05-45-00-0	AB0545000 - Infrastructure	56.07	0.00	0.00	0.00	56.0
AB-05-50-00-0	AB0550000 - Emerging Processing Technology Applications AB0555000 - Effective Environmental Protection	14,940.69	0.00	0.00	0.00	14,940.6
AB-05-55-00-0	AC1005000 - Exploration And Production	62,824,93	0.00	0.00	0.00	62,824.9
AC-10-05-00-0	AC1010000 - Exploration And Production AC1010000 - Reservoir Life Extension/Management	114,266.97	0.00	0.00	0.00	114,266.9
AC-10-10-00-0	AC1025000 - Reservoir Life Extension/Management	33.33	.0.00	0.00	0.00	33.3
AC-10-25-00-0 AU-00-00-00-0	AU0000000 - Import/Export Authorization	42.78	0.00	0.00	0.00	42.78
89X0213 2006 Fossil Energy Reseau	cch and Development					
AA-15-30-00-0	AA1530000	477,10	0.00	. 0.00	0.00	477.1 6,000.0
AB-05-55-00-0	AB0555000 - Effective Environmental Protection	6,000.00	0.00	0.00 0.00	0.00	246,766.8
AC-10-15-00-0	AC1015000 - Effective Environmental Protection	246,766.81	0.00	0.00	. 0.00	240, 700.01
89X0213 2007 Fossil Energy Resea	rch and Development		0.00	0.00	0.00	49,094.36
AA-15-05-00-0	AA1505000 - Coal Utilization Science	49,094.36	0.00 0.00	0.00	0.00	197,227.6
AA-15-20-00-0 AA-20-15-00-0	AA1520000 - Technology Crosscut AA2015000 - Advanced Systems - Integrated Gasification Combi	197,227.65 324.43	0.00	0.00	0.00	324.4
89X0213 2008 Fossil Energy Resea	wah and Davalorment					
AA-10-40-00-0	AA1040000	788,769.81	0.00	0.00	0.00	788,769.8
AA-15-05-00-0	AA1505000 - Coal Utilization Science	230,000.00	0.00	0.00	0.00	230,000.0
AA-15-10-00-0	AAl510000 - Materials And Components	79,377.67	0.00	0.00	0.00	79,377.6
AA-15-20-00-0	AA1520000 - Technology Crosscut	179,261.29	0.00	0.00	0.00	179,261.2
AA-20-25-00-0	AA2025000 - Innovations for Existing Plants	118,390.87	0.00	0.00	0.00	118,390.8
AA-25-30-00-0	AA2530000 - Innovative Concepts	270,510.13	0.00	0.00	0.00	270,510.1 110,442.9
AA-30-10-00-0	AA3010000 - Greenhouse Gas Control	110,442.92	0.00	0.00	0.00	250,000.0
AB-05-55-00-0	AB0555000 - Effective Environmental Protection	250,000.00	0.00	0.00	. 0.00	250,000.0
89X0213 2009 Fossil Energy Resea	rch and Development	0.00	650,000.00	0.00	650,000.00	650,000.0
AA-10-40-00-0	AA1040000	0.00	930,000,00	. ,	0307000100	,

CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CH11357 HQ BER CONTROL SUMMARY

BUDGET AUTHORITY (BA) NEW BA BEGINNING TOTAL UNCOSTED APPROPRIATION YEAR REVISED AVAILABLE PREVIOUS CHANGE OBLIGATIONS FT HQ BAR CONTROL BUDGET REFERENCE NUMBER 89X0213 2009 Fossil Energy Research and Development 175,000.00 0.00 175,000.00 0.00 175,000.00 AA1510000 - Materials And Components JA AA-15-10-00-0 320,000.00 320,000.00 0.00 320,000.00 0.00 AA2025000 - Innovations for Existing Plants AA-20-25-00-0 0.00 600,000.00 0.00 600,000,00 600,000.00 AA2530000 - Innovative Concepts AA-25-30-00-0 89X0216 2005 Energy Information Administration 0.00 0.00 0.00 786.88 786.88 TA0000000 - National Energy Information System (Neis) MX TA-00-00-00-0 89X0218 2005 Strategic Petroleum Reserve 13.89 0.00 0.00 0.00 13.89 SA0700000 - Strategic Petroleum Reserve Management DX SA-07-00-00-0 89X0222 2005 General Science and Research Activities, Oper 9,166.08 9,166.08 0.00 0.00 0.00 AT0000000 - Fusion Energy Sciences 0-00-00-00-TA AW 0.00 4,724.37 0.00 4,724,37 0.00 FS1000000 - Safeguards and Security - Science FS-10-00-00-0 0,00 0.00 0.00 48,73 48.73 KA1500000 - Advanced Technology R&D KA-15-00-00-0 43,845.93 43,845.93 0.00 0.00 0.00 KB00000000 - Nuclear Physics KB-00-00-00-0 0.00 1,339,157.08 1,339,157.08 0.00 0.00 KC0200000 - Materials Sciences and Engineering KC-02-00-00-0 0.00 0.00 7,757.24 KC0300000 - Chemical Sciences, Geosciences, and Energy Biosc 7,757.24 0.00 KC-03-00-00-0 0.00 0.00 22.89 22.89 0.00 KG-08-00-00-0 KG0800000 - Safety-Related Corrective Actions (1,434,58)0.00 (1,434.58) 0.00 KJ0000000 - Advanced Scientific Computing Research 1,434.58 KJ-00-00-00-0 0.00 0.00 0.00 2,344.69 KL0000000 - Workforce Development for Teachers and Scientist 2,344.69 KL-00-00-00-0 0.00 0.00 0.00 3,303.70 3.303.70 KP1100000, Life Sciences KP-11-00-00-0 0.00 4,967,83 0.00 KP1200000, Climate Change Research 4,967.83 0,00 KP-12-00-00-0 0.00 27,372.70 0.00 0.00 KP1300000, Environmental Remediation 27,372.70 KP-13-00-00-0 29,929,29 0.00 0.00 0.00 KP1400000, Medical Applications and Measurement Science 29,929,29 KP-14-00-00-0 89X0222 2006 General Science and Research Activities, Oper 0.00 40,000.00 40,000.00 0.00 0.00 KB0000000 - Nuclear Physics KB-00-00-00-0 352,279.24 0.00 0.00 352,279.24 0.00 KC0200000 - Materials Sciences and Engineering KC-02-00-00-0 0.00 0.00 187,745.64 187,745.64 0.00 KC0300000 - Chemical Sciences, Geosciences, and Energy Biosc KC-03-00-00-0 0.00 52,05 0.00 52.05 0.00 KG0900000 KG-09-00-00-0 90,372.85 0.00 0.00 .0.00 90,372.85 KP1200000, Climate Change Research KP-12-00-00-0 0.00 0,00 0.00 347.43 347.43 KP1400000, Medical Applications and Measurement Science KP-14-00-00-0 89X0222 2007 General Science and Research Activities, Oper 0.00 600,051,69 0.00 0.00 600,051:69 39KG00000PRNMEL001, Multiprogram Energy Laboratory 39-KG-00-00-0 PRNMEL001049 0.00 0.00 782,744.00 782,744,00 0.00 39-KG-01-00-0 PRN07SC04003 39KG01000PRN07SC04 0.00 190,668.05 190.668.05 0.00 0.00 AT0000000 - Fusion Energy Sciences AT-00-00-00-0 0.00 0.00 0.00 7,541.06 7,541.06 KA1100000 - Proton Accelerator-Based Physics KA-11-00-00-0 0.00 0.00 0.00 147,533.70 147,533.70 KB0000000 - Nuclear Physics KB-00-00-00-0 0.00 0.00 0.00 3,209,658.75 3.209.658.75 KC0200000 - Materials Sciences and Engineering KC-02-00-00-0 0.00 0.00 0.00 1,042,131.89 1,042,131,89 KC0300000 - Chemical Sciences, Geosciences, and Energy Biosc KC-03-00-00-0 0.00 0.00 71,102.09 71,102.09 0.00 KL0000000 - Workforce Development for Teachers and Scientist KT-00-00-00-0 82,857,58 0.00 0.00 KP1100000, Life Sciences 82,857.58 0.00 KP-11-00-00-0

CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CH11357 HQ BER CONTROL SUMMARY

		BUDGET AUTHORITY (BA)				
		BEGINNING		NEW BA		TOTAL
APPROPRIATION YEAR FT HQ B&R CONTROL BUDGET RE	PERENCE NUMBER	UNCOSTED OBLIGATIONS	PREVIOUS	CHANGE	REVISED .	AVAILABLE
99X0222 2007 General Science	and Research Activities, Oper				0.00	15,757.66
WA KP-13-00-00-0	KP1300000, Environmental Remediation	15,757.66	0.00	0.00	0.00	209,342.79
KP-14-00-00-0	KP1400000, Medical Applications and Measurement Science	209,342.79	0.00	0.00	0.00	209,342.19
89X0222 2008 General Science	and Research Activities, Oper		•			
AT-00-00-00-0	AT0000000 - Fusion Energy Sciences	2,223.94	0.00	0.00	0.00	2,223.94
FS-10-00-00-0	FS1000000 - Safeguards and Security - Science	201,665.64	0.00	0.00	0.00	201,665.64
KA-11-00-00-0	KA1100000 - Proton Accelerator-Based Physics	861,732.60	0.00	0.00	0.00	861,732.60
KA-13-00-00-0	KA1300000 - Non-Accelerator-Based Physics	346,848.95	0.00	0,00	0.00	346,848,95
KA-14-00-00-0	KA1400000 - Theoretical Physics	85,876.08	0.00	0,00	0.00	85,876,08
KA-15-00-00-0	KA1500000 - Advanced Technology R&D	1,122,656.08	0.00	0.00	0.00	1,122,656.08
KB-00-00-00-0	KB0000000 - Nuclear Physics	5,977,346.17	(292,000.00)	0.00	(292,000.00)	5,685,346.17
KC-02-00-00-0	KC0200000 - Materials Sciences and Engineering	24,431,309.60	0.00	0.00	. 0.00	24,431,309.60
KC-03-00-00-0	KC0300000 - Chemical Sciences, Geosciences, and Energy Biosc	7,549,433.23	0.00	. 0.00	0.00.	7,549,433.23
KG-06-00-00-0	KG0600000 - Excess Facilities Disposition	288,273.57	0.00	0.00	0.00	288,273.57
KJ-00-00~00-0	KJ0000000 - Advanced Scientific Computing Research	11,489,071.53	0.00	0.00	0,00	11,489,071.53
KL-00-00-00-0	KL0000000 - Workforce Development for Teachers and Scientist	815,690.46	0.00	0.00	0.00	815,690.46
KP-12-00-00-0	KP1200000, Climate Change Research	3,947,093.77	0.00	0.00	0,00	3,947,093.77
KP-15-00-00-0	KP1500000, Biological Research	2,559,709.39	0.00	0.00	0.00	2,559,709.39
	I B					
	and Research Activities, Reim 400000000 - Cost Of Reimbursable Work And Cooperative Work -	0.00	10,218,552.12	0,00	10,218,552.12	10,218,552.12
3W 40-00-00-00-0	400000000 - Cost or Remoursable work And Cooperative work	0.00	14,905,050.42	0.00	14,905,050.42	14,905,050.42
2W 60-00-00-00-0	600000000 - Cost Of Reimbursable And Cooperative Work - Non-	0.00	45,583.89	0.00	45,583.89	45,583.89
1W 65-00-00-00-0	650000000 - Use Of Third-Party Receipts From Technology Tran	0.00	36,004.00	0.00	36,004.00	36,004.00
0-00-00- 00-	AT0000000 - Fusion Energy Sciences	0.00	8,293,521.00	0.00	8,293,521.00	8,293,521.00
FS-10-00-00-0	FS1000000 - Safeguards and Security - Science	0.00	5,800,244.00	0.00	5,800,244.00	5,800,244.00
KA-11-00-00-0	KA1100000 - Proton Accelerator-Based Physics	0.00	822,690.00	0.00	822,690.00	822,690.00
KA-13-00-00-0	KA1300000 - Non-Accelerator-Based Physics		1,573,374.00	0.00	1,573,374.00	1,573,374.0
KA-14-00-00-0	KA1400000 - Theoretical Physics	0.00		0.00	3,375,373.00	3,375,373.0
KA-15-00-00-0	KA1500000 - Advanced Technology R&D	0.00	3,375,373.00	0.00	24,353,106.00	24,353,106.0
кв-00-00-00-0	KB0000000 - Nuclear Physics	0.00	24,353,106.00	0.00	149,126,767.00	149, 126, 767.00
KC-02-00-00-0	KC0200000 - Materials Sciences and Engineering	0:00	149,126,767.00	0.00	12,894,831.00	12,894,831.0
KC-03-00-00-0	KC0300000 - Chemical Sciences, Geosciences, and Energy Biosc	0.00	12,894,831.00			37,501,764.0
KJ-00-00-00-0	KJ0000000 - Advanced Scientific Computing Research	. 0.00	37,501,764.00	. 0.00	37,501,764.00 765,985.00	765,985.0
KT-00-00-00-0	KL0000000 - Workforce Development for Teachers and Scientist	0.00	765,985.00	0.00	18,685,176.00	18,685,176.0
KP-12-00-00-0	KP1200000, Climate Change Research	0.00	18,685,176.00	0.00	6,460,918.00	6,460,918.0
KP-15-00-00-0	KP1500000, Biological Research	0.00	6,460,918.00	. 0.00	6,400,910.00	0,460,510.00
89X0224 2005 Energy Supply I	Research and Development Activi					
3Y 40-00-00-00-0	400080000 - Cost Of Reimbursable Work And Cooperative Work -	222,945.56	(59, 686.48)	0.00	(59,686.48)	163,259.08
2Y 60-00-00-00-0	600000000 - Cost Of Reimbursable And Cooperative Work - Non-	581,198.67·	(44,828.24)	0.00	(44,828.24)	536,370.43
1Y 65-00-00-00-0	650000000 - Use Of Third-Party Receipts From Technology Tran	52,255,49	(18, 122.64)	0.00	(18, 122.64)	34,132.85
YA AF-02-00-00-0	AF0200000 - INL Operations and Infrastructure	15,433.29	0.00	0.00	0.00	15,433.29
AF-20-00-00-0	AF2000000 - Advanced Reactor R&D	3,346.86	0.00	0.00	0.00	3,346.8

CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CH11357 HO BER CONTROL SUMMARY

BUDGET AUTHORITY (BA) BEGINNING NEW BA TOTAL UNCOSTED APPROPRIATION YEAR AVAILABLE PREVIOUS CHANGE REVISED OBLIGATIONS FT HO BER CONTROL BUDGET REFERENCE NUMBER 89X0224 2005 Energy Supply Research and Development Activi 5,074.83 0.00 0.00 0.00 5,074.83 AF3500000 - Nuclear Energy Research Initiative YA AF-35-00-00-0 3,487.27 0.00 0.00 0.00 3,487.27 AF3600000 - Generation IV Nuclear Energy Systems Initiative AF-36-00-00-0 (88.69)0.00 0.00 88.69 (88, 69)AF3700000 - Nuclear Energy Technologies AF-37-00-00-0 0.00 0.00 127.99 0.00 127.99 AF4000000 - University Reactor Fuel Assistance and Support AF-40-00-00-0 25.19 0.00 0.00 0.00 25.19 AF5800000 - Advanced Fuel Cycle Initiative (AFCI) AF-58-00-00-0 0.00 74.99 0.00 74.99 0.00 AF7800000 - Advanced Radioisotope Power Systems AF-70-00-00-0 0.00 0.00 21,90 21.90 0.00 AF7500000 - Space Exploration Initiative AF-75-00-00-0 1.762.33 0.00 0.00 0.00 1,762.33 EB2100000 - Solar Energy EB-21-00-00-0 0.00 0.00 6,486.25 0.00 6,486,25 ER2600000 - Ocean Energy Systems EB-26-00-00-0 0.00 0.00 0.00 1,170.71 1,170.71 EB4200000 - Hydrogen Research R&D EB-42-00-00-0 0.00 0.00 0.00 14,59 14.59 EB5000000 - Electric Energy Systems And Storage EB-50-00-00-0 0,00 0.00 30,141.48 30,141.48 0.00 ED0000000 - Industry Sector - Total ED-00-06-00-0 0.00 0.00 0.00 1.39 EH0000000 - Policy And Management 1.39 EH-00-00-00-0 6,996.62 0,00 0.00 0.00 6,996.62 EL1700000 - Federal Energy Management Program EL-17-00-00-0 0.00 0.00 451.24 451.24 0.00 E00180000 - Distributed Energy Resources EO-01-00-00-0 0.00 0.00 0.00 1,545.76 1,545.76 HI00000000 - Fuel Cell Technologies HI-00-00-00-0 0.00 0.00 0.00 295.75 295.75 KK0500000 - Program Direction - Nuclear Energy KK-05-00-00-0 1,333,77 0.00 0.00 1,333.77 0.00 TD-50-01-00-0 TD5001000 86,46 0.00 0.00 0.00 86.46 TD5004000 TD-50-04-00-0 0,00 0.00 11,107.04 11,107,04 0.00 VT0000000 - Vehicle Technologies VT-00-00-00-0 242.83 0.00 0.00 0.00 WB0000000 - In-House Energy Management (Ihem) 242.83 WB-00-00-00-0 2,908,13 0.00 0.00 0.00 2,908.13 WI0500000 - Gateway Deployment WI-05-00-00-0 89X0224 2006 Energy Supply Research and Development Activi (162,606,06) 170.075.67 332,681,73 (162,606.06) 0.00 400000000 - Cost Of Reimbursable Work And Cooperative Work -3Y 40-00-00-00-0 (60,954.98) 432,052.95 0.00 600000000 - Cost Of Reimbursable And Cooperative Work - Non-493,007.93 (60, 954.98) 2Y 60-00-00-00-0 0.00 (7,927.64) 3,527.37 650000000 - Use Of Third-Party Receipts From Technology Tran 11,455.01 (7,927,64)1Y 65-00-00-00-0 0.00 8,856.12 8.856.12 0.00 0.00 AF0200000 - INL Operations and Infrastructure YA AF-02-00-00-0 10.481.84 0.00 10,481.84 0.00 0.00 AF3600000 - Generation IV Nuclear Energy Systems Initiative AF-36-00-00-0 0.00 355.61 AF4000000 - University Reactor Fuel Assistance and Support 355.61 0.00 0.00 AF-40-00-00-0 39,000.00 0.00 39,000.00 0.00 0.00 PM0100000 - Biomass/Biofuels Energy Systems BM-01-00-00-0 14,509,15 0.00 14,509.15 0.00 -0.00EB2100000 - Solar Energy EB-21-00-00-0 17,000.00 0.00 0.00 17,000.00 0.00 EB2500000 - Wind Energy Systems EB-25-00-00-0 44,000.00 0.00 44,000.00 0.00 0.00 EB4000000 - Geothermal EB-40-00-00-0 0.00 48,519.74 0.00 EB5500000 - Department Energy Management Program 48,519.74 0.00 EB-55-00-00-0 3,409.16 0.00 0.00 3,409.16 0.00 ED0000000 - Industry Sector - Total ED-00-00-00-0 0.00 8.831.28 0.00 8.831.28 0.00 HC-00-00-00-0 HC0000000 - Energy Supply (Operating) 0.00 0.00 921.74 921.74 0.00 HI0000000 - Fuel Cell Technologies HI-00-00-00-0 2,028.33 0.00 0.00 2,028,33 0.00 TD5200000 - Electricity Restructuring TD-52-00-00-0 12,716.10 0.00 0.00 0.00 VT0000000 - Vehicle Technologies 12,716.10 VT-00-00-00-0 89X0224 2007 Energy Supply Research and Development Activi 0.00 5,481,979.72 (37,301.48)(37,301.48) 400000000 - Cost Of Reimbursable Work And Cooperative Work -5,519,281.20 3Y 40-00-00-00-0

CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CH11357 HQ BER CONTROL SUMMARY

BUDGET AUTHORITY (BA) NEW BA BEGINNING TOTAL UNCOSTED APPROPRIATION YEAR AVAILABLE PREVIOUS CHANGE REVISED OBLIGATIONS FT HO BER CONTROL BUDGET REFERENCE NUMBER 89X0224 2007 Energy Supply Research and Development Activi (60, 225, 74)512,592,64 0.00 (60, 225.74)572,818.38 600000000 - Cost Of Reimbursable And Cooperative Work - Non-2Y 60-00-00-00-0 2,289.80 0.00 0.00 0.00 2,289.80 AF3600000 - Generation IV Nuclear Energy Systems Initiative YA AF-36-00-00-0 (3.64)0.00 0.00 3.64 (3.64)AF3700000 - Nuclear Energy Technologies AF-37-00-00-0 0.00 0.00 165,869.15 165,869.15 0.00 AF5800000 - Advanced Fuel Cycle Initiative (AFCI) AF-58-00-00-0 50,000.00 50,000.00 0.00 0.00 0.00 BM-01-00-00-0 BM0100000 - Biomass/Biofuels Energy Systems 0.00 45,617.84 0.00 45.617.84 0.00 EB4200000 - Hydrogen Research R&D EB-42-00-00-0 0.00 0.00 16,254.96 16,254.96 0.00 EB5700000 EB-57-00-00-0 0.00 178,619.59 0.00 0.00 178.619.59 ED00000000 - Industry Sector - Total ED-00-00-00-0 0.00 0.00 162,022,38 162,022.38 0.00 EL1700000 - Federal Energy Management Program EL-17-00-00-0 0.00 0.00 0.00 134,842.38 134,842,38 TD5008000 TD-50-08-00-0 3,020.84 3,020.84 0.00 0.00 0.00 TD5009000 TD-50-09-00-0 0.00 0.00 227,905.74 227,905,74 0.00 TD5401000 TD-54-01-00-0 0.00 0.00 0.00 40,326.21 40,326.21 TD5402000 TD-54-02-00-0 2,538,166.16 0.00 0.00 0.00 2,538,166,16 VT0000000 - Vehicle Technologies VT-00-00-00-0 0.00 0.00 109,23 109.23 0.00 WI0601000 WI-06-01-00-0 89X0224 2008 Energy Supply Research and Development Activi (35,334.68) 4,029,247.03 0.00 4,064,581.71 (35, 334.68)400000000 - Cost Of Reimbursable Work And Cooperative Work -3Y 40-00-00-00-0 593,098.83 (90,700,08) 0.00 (90,700.08)600000000 - Cost Of Reimbursable And Cooperative Work - Non-683,798.91 2Y 60-00-00-00-0 89X0228 2005 Departmental Administration, Operating Expens 0.00 5,803,62 5.803.62 0.00 0.00 PE0100000 - Policy, Planning And Analysis SA PE-01-00-00-0 332.12 0.00 0.00 332.12 0.00 PEO400000 - Office Of Environmental Analysis PE-04-00-00-0 0.00 0.00 R.12 8.12 0.00 WA5000000 - Minority Economic Impact Program WA-50-00-00-0 89X0228 2006 Departmental Administration, Operating Expens 0.00 0.00 5,476.95 5,476,95 0.00 MA0000000 MA-00-00-00-0 25,000.00 0.00 25,000.00 0.00 0.00 PE0100000 - Policy, Planning And Analysis PE-01-00-00-0 10,000.00 .0.00 10,000.00 0.00 0.00 PEO400000 - Office Of Environmental Analysis PE-04-00-00-0 183,498.02 0.00 0.00 183,498.02 0.00 WN0000000 - Cost Of Work For Others WN-00-00-00-0 89X0228 2007 Departmental Administration, Operating Expens 14,088.39 0.00 0.00 14,088.39 0.00 WN0800000 - Cost Of Work For Others WN-00-00-00-0 89X0228 2008 Departmental Administration, Operating Expens 1,450,000.00 0.00 0,00 1,450,000.00 0.00 WN0000000 - Cost Of Work For Others WN-00-00-00-0 2009 Departmental Administration, Operating Expens 89X0228 3,371,400.00 3,371,400.00 3,371,400.00 0.00 0.00 WN0000000 - Cost Of Work For Others WN-00-00-00-0 89X0235 2005 Clean Coal Technology 0.00 14,767.32 0.00 14,767,32 0.00 AZ0000000 - Innovative Clean Coal Technology BB AZ-00-00-00-0

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CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CH11357 HQ BER CONTROL SUMMARY

BUDGET AUTHORITY (BA)

	BEGINNING		NEW BA		
APPROPRIATION YEAR FT HQ BGR CONTROL BUDGET REFERENCE NUMBER	UNCOSTED OBLIGATIONS	PREVIOUS	CHANGE	REVISED	TOTAL AVAILABLE
					U43444444
99X0240 2005 Weapons Activities, Reimbursable Work, Federa			0.00	(40, 000, 00)	317,745,17
3T 40-00-00-00 400000000 - Cost Of Reimbursable Work And Cooperative Work -	361,022.10	(43, 276.93)	0.00	(43,276,93) 0.00	35, 938, 21
TC DP-09-01-00-0 DP0901000 - Operations of Facilities	35,938.21	0.00	0.00	(12,786.14)	0.0
DP-15-00-00-0 DP1500000 - Advanced Simulation and Computing Campaign	12,786.14	(12,786,14) 0.00	0.00	0.00	1,093.36
DP-40-00-00-0 DP4000000 - Nuclear Weapons Incident Response	1,093,36	0.00	0.00	0.00	1,093,30
39X0240 2006 Weapons Activities, Reimbursable Work, Federa				(00 000 01)	
3T 40-00-00-00 400000000 - Cost Of Reimbursable Work And Cooperative Work -	547,293.82	(83,780.21)	0.00	(83,780.21)	463,513.6
19X0240 2007 Weapons Activities, Reimbursable Work, Federa	$(e^{-i\theta})^{-i\theta}$				
40-00-00-00-0 40000000 - Cost Of Reimbursable Work And Cooperative Work -	2,962,268.98	(436,250.71)	0.00	(436, 250.71)	2,526,018.2
TC DP-16-01-00-0 DP1601000	291.45	0.00	0.00	0.00	291.4
99X0240 2008 Weapons Activities, Reimbursable Work, Federa	0		•		
3T 40-00-00-00-0 400000000 - Cost Of Reimbursable Work And Cooperative Work -	13,493,646.84	(419.69)	0.00	(419.69)	13,493,227.1
TC DP-09-02-00-0 DP0902000 - Program Readiness	11,302.27	0.00	0.00	0.00	11,302,2
DP-15-00-00-0 DP1500000 - Advanced Simulation and Computing Campaign	21,995.50	0.00	0.00	0.00	21,995.5
DP-40-00-00-0 DP4000000 - Nuclear Weapons Incident Response	312,898.94	0.00	0,00	0.00	312,898.9
FS-20-00-00-0 FS2000000 - Safeguards and Security - NMSA	70,999.91	0.00	0,00	0.00	70,999.9
89X0240 2009 Weapons Activities, Reimbursable Work, Federa					
3T 40-00-00-00 400000000 - Cost Of Reimbursable Work And Cooperative Work -	0.00	251,405.00	0.00	251,405.00	251,405.0
TC DP-09-02-00-0 DP0902000 - Program Readiness	0.00	632,169.00	0.00	632,169.00	632,169.
pp-40-00-00-0 DP4000000 - Nuclear Weapons Incident Response	0.00	2,135,178.00	0.00	2,135,178.00	2,135,178.0
FS-20-00-00-0 FS2000000 - Safeguards and Security - NNSA	0.00	135,465.00	0.00	135,465.00	135,465.0
89X0243 2005 Materials Production and Other Defense Progra			•		
TF AF-12-00-00-0 AF1200000 - Plutonium Burning	. 76.12	0.00	0.00	0.00	76,3
AF-17-00-00-0 AF1700000 - Nuclear Security/Russian Production Reactor Shut	63.24	0.00	0,00	0.00	63.
AF-50-00-00-0 AF5000000 - Nuclear Technology Research And Development	178.41	0.00	00,0	0.00	178.
AF-58-00-00-0 AF5800000 - Advanced Fuel Cycle Initiative (AFCI)	. 0.01	0.00	0.00	0.00	0.
GD-03-00-00-0 GD0300000, Classification/Declassification Resources	14.52	0.00	0,00	0.00	14,
HA-00-00-00-0 HA0000000 - Employee Compensation Initiative	538.18	0.00	0.00	0.00	538.
HD-00-00-00-0 HD0000000 - Other Defense Activities (Operating)	2,716.69	0.00	0.00	0.00	2,716.
IN-00-00-00-0 IN0000000 - Intelligence	0.02	0.00	0.00	0.00	0.
OS-10-00-00-0 OS1000000 - Program Direction - Office of Security	67,608.27	0.00	0.00	0.00	67,608.
SO-10-00-00-0 SO1000000 - Program Direction - Office of Security and Emerg	23,517.19	0.00	0,00	0.00	23,517.1
39X0243 2007 Materials Production and Other Defense Progra		•			
CS-00-00-00-0 CS0000000 - Cyber Security Program	20,835.07	0.00	0,00	0.00	20,835.
RH-00-00-00 RH0000000	73,878.81	0.00	0.00	0.00	73,878.8
89X0243 2008 Other Defense Activities, Reimbursable Work,	•				
3F 40-00-00-00-0 400000000 - Cost Of Reimbursable Work And Cooperative Work -	22,392,729.11	(112, 336.14)	0.00	(112,336.14)	22,280,392.9
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CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CH11357 HO BER CONTROL SUMMARY

BUDGET AUTHORITY (BA) BEGINNING NEW BA UNCOSTED TOTAL APPROPRIATION YEAR REVISED AVAILABLE CHANGE OBLIGATIONS PREVIOUS FT HO BAR CONTROL BUDGET REFERENCE NUMBER 89X0243 2008 Other Defense Activities, Reimbursable Work, 253,458,16 253,458,16 0.00 0.00 0,00 GD2500000, Vulnerability and Threat Program Activity TF GD-25-00-00-0 37,220.12 0.00 37,220.12 0.00 0.00 GD3000000, Energy and Proliferation GD-30-00-00-0 0.00 0.00 0.00 357,444.18 357,444.18 нтооооооо HU-00-00-00-0 140,823.54 0.00 0.00 140,823.54 0.00 RH-00-00-00-0 RH0000000 89X0243 2009 Other Defense Activities, Reimbursable Work, 20,063,052.58 20,063,052.58 0,00 20,063,052.58 0.00 400000000 - Cost Of Reimbursable Work And Cooperative Work -3F 40-00-00-00-0 1,417,940.00 0.00 1,417,940.00 1,417,940.00 0.00 GD2500000, Vulnerability and Threat Program Activity TF GD-25-00-00-0 310,294.00 310,294,00 0.00 310,294.00 0.00 GD3000000, Energy and Proliferation GD-30-00-00-0 474,330.00 474,330.00 474,330.00 0.00 0.00 HU-00-00-00-0 HU0000000 489,158.00 489,158.00 0.00 489.158.00 0.00 RH0000000 RH-00-00-00-0 89X0244 2007 Defense Nuclear Waste Disposal 0.00 477,439.74 477.439.74 0.00 0.00 DF0000000 - Waste Management System TH DF-00-00-00-0 89X0251 2005 Defense Facilities Closure Projects 45,238,88 0.00 0.00 45,238.88 0.00 EY0800000 - Defense Environmental Services - Non-Closure Env TP EY-08-00-00-0 89X0251 2006 Defense Facilities Closure Projects 0.00 0.00 346.92 346.92 0.00 EY-60-20-00-0 89X0251 2007 Defense Facilities Closure Projects 14,151.54 14,151.54 0.00 0.00 0.00 EY-80-00-00-0 89X0251 2008 Defense Facilities Closure Projects 0.00 0.00 0.00 48,616,12 48,616,12 EY-40-00-00-0 EY4000000 - Defense Site Acceleration Completion - Technolog 2,569,776.78 2,569,776,78 0.00 0.00 0.00 EX8000000 EY-80-00-00-0 89X0251 2009 Defense Facilities Closure Projects 0.00 174,420.00 0.00 174,420.00 174,420,00 EY-40-00-00-0 EY4000000 - Defense Site Acceleration Completion - Technolog 0.00 2,830,599.00 0.00 2,830,599.00 2,830,599.00 EY-80-00-00-0 EX8000000 89X0309 2005 Defense Nuclear Nonproliferation NN2000000 - Nonproliferation And Verification Research And D 10.893.72 (10,893.72)0.00 (10,893,72)0.00 NS NN-20-00-00-0 0.00 0.00 32,764,75 NN3000000 - International Nuclear Safety and Cooperation 32,764.75 0.00 NN-30-00-00-0 (12,849,78) 0.00 (12,849.78) 0.00 NN3200000 - Elimination of Weapons Grade Plutonium Productio 12,849,78 NN-32-00-00-0 0.00 (513.63)410.76 NN4000000 - Nonproliferation and International Security 924.39 (513.63)NN-40-00-00-0 225.87 (225.87) 0.00 (225:87)0.00 NN4000000 - Nonproliferation and International Security NU NN-40-00-00-0 351.60 (351.60) 0.00 (351,60)0.00 NN4100000 - Russian Transition Initiatives NK NN-41-00-00-0 (311.00)0,00 NN5000000 - International Nuclear Materials Protection and C 311.00 (311,00)0.00 NN-50-00-00-0 89X0309 2006 Defense Nuclear Nonproliferation 35,308,95 0,00 0.00 NN4000000 - Nonproliferation and International Security 35,308.95 0.00 NN-40-00-00-0

89X0315 2007 Uranium Facilities Maintenance and Remediatio

EZ-50-58-00-0

EZ5058000

CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CH11357 HO BER CONTROL SUMMARY

BUDGET AUTHORITY (BA) BEGINNING NEW BA UNCOSTED TOTAL APPROPRIATION YEAR OBLIGATIONS PREVIOUS CHANGE REVISED AVAILABLE FT HO BAR CONTROL BUDGET REFERENCE NUMBER 89X0309 2006 Defense Nuclear Nonproliferation 85,162,17 0.00 0.00 0.00 85,162,17 NS NN-41-00-00-0 NN4100000 - Russian Transition Initiatives 89X0309 2007. Defense Nuclear Nonproliferation (19, 151, 21) 0.00 (19,151.21)14,365.47 33,516.68 NN-40-00-00-0 NN4000000 - Nonproliferation and International Security 218,808,55 218,808.55 0.00 0.00 0.00 NN-60-03-00-0 NN6003000, Supporting Activities (15.39) 0.00 (15.39) 69,791.37 69,806.76 NN-90-00-00-0 NN9000000 89X0309 2008 Defense Nuclear Nonproliferation NN2000000 - Nonproliferation And Verification Research And D 185,541.59 0.00 0.00 0.00 185,541.59 NN-20-00-00-0 3,132,223.83 0.00 0.00 0.00 3,132,223,83 NN4000000 - Nonproliferation and International Security NN-40-00-00-0 1,418,154.02 1,418,154.02 NN-50-00-00-0 NN5000000 - International Nuclear Materials Protection and C 0.00 0.00 0.00 9,621,485.98 (1,792,062,00) 0.00 (1,792,062.00) 7,829,423,98 NN9000000 NN-90-00-00-0 89X0309 2009 Defense Nuclear Nonproliferation 0.00 2,449,216.00 0.00 2,449,216.00 2,449,216.00 NN2000000 - Nonproliferation And Verification Research And D NN-20-00-00-0 NN4000000 - Nonproliferation and International Security 0.00 4,486,977,00 0.00 4,486,977.00 4,486,977.00 NN-40-00-00-0 1,910,736.00 1,910,736.00 1,910,736.00 NN5000000 - International Nuclear Materials Protection and C 0.00 0.00 NN-50-00-00-0 31,149,671.00 31,149,671.00 0.00 31,149,671.00 0.00 NN9000000 NN-90-00-00-0 89X0313 2006 Office of the Administrator PS0000000 - Program Direction - National Nuclear Security Ad 4,655.95 0.00 0.00 0.00 4,655.95 AD PS-00-00-00-0 89X0313 2007 Office of the Administrator 35,000.00 PS-00-00-00-0 PS0000000 - Program Direction - National Nuclear Security Ad-35,000.00 0.00 0.00 0.00 89X0313 2008 Office of the Administrator 20,000.00 0.00 0.00 20,000,00 PS-00-00-00-0 PS0000000 - Program Direction - National Nuclear Security Ad 0.00 89X0314 2008 Naval Reactors NR AJ-05-00-00-0 AJ0500000 - Naval Reactors Development 1,974.88 0.00 0.00 0.00 1,974.88 89X0314 2009 Naval Reactors AJ-05-00-00-0 AJ0500000 - Naval Reactors Development 0.00 25,060.00 0.00 25,060,00 25,060,00 89X0315 2005 Uranium Facilities Maintenance and Remediatio EZ0600000 - Non-Defense Site Acceleration Completion - 2006 3,775.93 0.00 0.00 0.00 3,775.93 UX EZ-06-00-00-0 89X0315 2006 Uranium Facilities Maintenance and Remediatio EZ5058000 269.19 0.00 0.00 0.00 269.19 EZ-50-58-00-0

9,293,417.53

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CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CH11357 HQ BER CONTROL SUMMARY

		· .	BUT	CET AUTHORITY (BA)			
	•	BEGINNING		NEW BA			
APPROPRIATION YEAR FT HQ B&R CONTROL BUDGET REFERENCE	NUMBER	UNCOSTED OBLIGATIONS	PREVIOUS	CHANGE	REVISED	TOTAL AVAILABLE	
89X0315 2008 Uranium Facilities Mai	ntenance and Remediatio		•				
UX EZ-50-58-00-0	EZ5058000	86,866.51	. 0.00	0,00	. 0.00	86,866.51	
89X0315 2009 Uranium Facilities Mai	ntenance and Remediatio				,		
EZ-50-58-00-0	EZ5058000	0,00	186,125.00	0.00	186,125.00	186,125.00	
89X0318 2008 Electricity Delivery a	nd Energy Reliability					•	
BN TD-50-01-00-0	TD5001000	289,917.71	0.00	0.00	0.00	289,917.71	
TD-50-08-00-0	TD5008000	73,228.47	0.00	0.00	0.00	73,228.47	
TD-54-01-00-0	TD5401000	511,358.32	0.00	0.00	0.00	511,358.32	
89X0318 2009 Electricity Delivery a	and Energy Reliability	•		•			
TD-50-01-00-0	TD5001000	0.00	875,000.00	0.00	875,000.00	875,000.00	
TD-50-08-00-0	TD5008000	0.00	150,000.00	0.00	150,000.00	150,000.00	
TD-54-01-00-0	TD5401000	0.00	90,000.00	0.00	90,000.00	90,000.00	
ONIONA OCCUPATION PROPERTY							
89X0319 2008 Nuclear Energy CN AF-01-10-00-0	AF0110000 - Space and Defense Nuclear Power Systems Infrastr	8,511.60	0.00	0.00	0.00	8,511.60	
AF-36-00-00-0	AF3600000 - Generation IV Nuclear Energy Systems Initiative	1,570,877.84	0.00	0.00	0.00	1,570,877.84	
AF-38-00-00-0	AF3800000 - Nuclear Hydrogen Initiative (NHI)	32,257.63	0.00	0.00	0.00	32,257.63	
AF-58-00-00-0	AF5800000 - Advanced Fuel Cycle Initiative (AFCI)	4,553,602.92	0.00	0.00	0.00	4,553,602.92	
89X0319 2009 Nuclear Energy							
AF-01-10-00-0	AF0110000 - Space and Defense Nuclear Power Systems Infrastr	0.00	572,960.00	0.00	572,960.00	572,960.00	
AF-36-00-00-0	AF3600000 - Generation TV Nuclear Energy Systems Initiative	0.00	931,060.00	0.00	931,060.00	931,060.00	
AF-38-00-00-0	AF3800000 - Nuclear Hydrogen Initiative (NHI)	0.00	198,255.00	0.00	198,255.00	198,255.00	
AF-58-00-00-0	AF5800000 - Advanced Fuel Cycle Initiative (AFCI)	0.00	13,333,269.00	0.00	13,333,269.00	13,333,269.00	
89X0321 2007 Energy Efficiency and	Renewahle Energy						
ZA EB-42-00-00-0	EB4200000 - Hydrogen Research R&D	100,000.00	. 0.00	0.00	0.00	100,000.00	
89X0321 2008 Energy Efficiency & R	enewable Energy, Reimbur						
3Z 40-00-00-00-0	400000000 - Cost Of Reimbursable Work And Cooperative Work -	20,417,656.61	(45.49)	0.00	(45,49)	20,417,611.12	
2Z 60-00-00-00-0	600000000 - Cost Of Reimbursable And Cooperative Work - Non-	4,710,058.01	(694,840.98)	0.00	(694,840.98)	4,015,217.03	
1Z 65-00-00-00-0	650000000 - Use Of Third-Party Receipts From Technology Tran	62.135.24	0.00	0.00	0.00	62,135.24	
ZA BM-01-00-00-0	BM0100000 - Biomass/Biofuels Energy Systems	1,917,225.74	. 0.00	0.00	0.00	1,917,225.74	
EB-21-00-00-0	EB2100000 - Solar Energy	884,717.80	0.00	0.00	0.00	884,717.80	
EB-25-00-00-0	EB2500000 - Wind Energy Systems	250,365.84	0.00	0.00	0.00	250,365.84	
EB-40-00-00-0	EB4000000 - Geothermal	45,382.00	0.00	0.00	. 0.00	45,382.00	
EB-42-00-00-0	EB4200000 - Hydrogen Research R&D	5,252,171.22	0.00	0.00	0.00	5,252,171.22 182,514.21	
EB-57-00-00-0	EB5700000	182,514.21	0.00 0.00	0.00 0.00	0.00 0.00	1,368,283.68	
ED-00-00-00-0	ED0000000 - Industry Sector - Total	1,368,283.68 10,547,661.17	0.00	0.00	0.00	1,368,283.88	
VT-00-00-0	VT0000000 - Vehicle Technologies	10,047,001.17	0.00	0.00	. 0.00	10/04//001/11	

RPT003 PAGE 10°

CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CH11357 HQ B&R CONTROL SUMMARY

			BUDGET AUTHORITY (BA)					
		BEGINNING	NEW BA			TÖTAL		
APPROPRIATION YEAR FT HO BER CONTROL BUDGET REFERENCE	NUMBER	UNCOSTED OBLIGATIONS	PREVIOUS	CHANGE	REVISED	AVAILABLE		
		w ith		=::::::::::::::::::::::::::::::::::::::				
89X0321 2009 Energy Efficiency and	Renewable Energy			0.00		720 026 00		
ZA EM-01-00-00-0	BM0100000 - Biomass/Biofuels Energy Systems	0.00	2,738,936.00	0.00	2,738,936.00	2,738,936.00		
BT-00-00-00-0	BT0000000 - Building Technologies	0.00	84,998.00	0.00	84,998.00	84,998.00		
EB-21-00-00-0	EB2100000 - Solar Energy	0.00	1,213,046.00	0.00	1,213,046.00	1,213,046.00		
EB-25-00-00-0	EB2500000 - Wind Energy Systems	0.00	500,000.00	0.00	500,000.00	500,000.00 1,779.00		
EB-40-00-00-0	EB4000000 - Geothermal	0.00	1,779.00	0.00	1,779.00			
EB-42-00-00-0	EB4200000 - Hydrogen Research R&D	0.00	10,005,000.00	0.00	10,005,000.00	10,005,000.00		
EB-45-00-00-0	EB4500000, Water Power Energy R&D	0.00	4,923.00	0.00	4,923.00	4,923.00		
EB-57-00-00-0	EB5700000	0.00	152,000.00	0.00	152,000:00	152,000.00 1,015,445.00		
ED-00-00-00-0	ED00000000 - Industry Sector - Total	0.00	1,015,445.00	0,00	1,015,445.00	32,292,837.00		
VT-00~00-00-0	VT0000000 - Vehicle Technologies	0.00	32,292,837.00	0.00	32,292,837.00	32,292,031.00		
89X0322 2009 Advanced Technology Ve	hicles Manufacturing Lo	•				955 000 00		
OM TB-00-00-00-0	LP0000000, Vehicle Manufacturing Loan Program	0.00	260,000.00	0.00	260,000.00	260,000.00		
89X4180 2008 Isotope Production and	Distribution Fund ST0000000 - Isotope Production And Distribution Program	47.90	0.00	0.00	0.00	47.90		
VE ST-00-00-00-0	SIGNATURE A TRACTORE ELOCITICATURE WITH PERCENTAGE AND ASSOCIATION ASS	,,,,						
89X5227 2005 Nuclear Waste Fund WD DF-00-00-00	DF0000000 - Waste Management System	127,913.04	0.00	0.00	0,00	127,913.04		
89X5227 2006 NWF Appropriated from WZ DH-00-00-00-0	General Fund DH0000000	0.00	400,000.00	0.00	400,000.00	400,000.00		
89X8575 2005 Advances for Co-Sponso 4A AF-20-00-00-0	ored Proje AF2000000 - Advanced Reactor R&D	652.65	0.00	0.00	0.00	652,65		

CHICAGO OPERATIONS OFFICE FY 2009 APPROVED FUNDING PROGRAM NO. 12 CH Argonne National Laboratory - CONTRACT NO: CH11357 DETAIL

					BUD			
			BEGINNING	NEW BA			TOTAL	
		UNCOSTED OBLIGATIONS	PREVIOUS	CHANGE	REVISED	AVAILABLE		
PERATING EXPENSES			•					
A AF-20-70-10-0	2005	25400	AF2070100, Metal Fuel Cycle Research and Development	584.92	0.00 0.00	0.00	0.00 0.00	584.92 67.73
AF-20-70-30-0	2005	25400	AF2070300, Actinide Recycle	67.73	0.00	0.00		
D PS-02-02-12-7	2006	25400	PS0202127, Environmental Managment Transition Advisory and A	4,655.95	0.00	0.00 0.00	0.00 0.00	4,655.95 35,000.00
5 15-02-02 12 1	2007	25400	PS0202127. Environmental Managment Transition Advisory and A	35,000.00 20,000.00	0.00 0.00	0.00	0.00	20,000.00
	2008	25400	PS0202127, Environmental Managment Transition Advisory and A	20,000.00	0.00			
B AZ-02-20-06-0	2005	25,400	AZ0220060, Other Services	14,767.32	. 0.00	0.00	0.00	14,767.32
	0000	05400	TD5001100, High Temperature Supercon. R&D	289,917,71	0.00	0,00	0.00	289,917.71
N TD-50-01-10-0	2008 2009	25400 25400	TD5001100, High Temperature Supercon. R&D	0.00	875,000.00	0.00	875,000.00	875,000.00
	2009	25400	TD5008010, Visualization & Control R&D	73,228.47	0.00	0,00	0.00	73,228.47
TD-50-08-01-0	2009		TD5008010, Visualization & Control R&D	0.00	150,000.00	0.00	150,000.00	150,000.00
mm 64 03 03 0	2009	25400	TD5401010, Permitting, Sitting & Analysis	511,358.32	0.00	0.00	0.00	511,358.32
TD-54-01-01-0	2009	25400	TD5401010, Permitting, Sitting & Analysis	0.00	90,000.00	0.00	90,000.00	90,000.00
N AF-01-10-10-0	2008	25400	AF0110100, Facility Infrastructure Maintenance	8,511.60	0.00	0.00	0.00	8,511.60
4 WE-01-10-10-0	2009		AF0110100, Facility Infrastructure Maintenance	0.00	572,960.00	0.00	572,960.00	572,960.00
AF-36-20-50-0	2008		AF3620500 - Gas-Cooled Fast Reactor GFR	2,082.23	0.00	0.00	0.00	2,082.23 139.4
AF~36~20~60~0	2008		AF3620600 - Lead-Cooled Fast Reactor LFR	139.46	0.00	0.00	0.00	127,836.6
AF-36-20-70-0	2008		AF3620700 - Sodium-Cooled Fast Reactor SFR	127,836.64	0.00	0.00	0.00	47,580.3
AF-36-25-10-0	2008		AF3625100 - Design and Evaluation Methods	47,580.31	0.00	0.00	0.00 0.00	322.4
AF-36-40-10-0	2008	25400	AF3640100, NERI	322,49	0.00	0,00	0.00	39,335.7
AF-36-50-20-0	2008	25400	AF3650200 - Generation IV International Nuclear Forum (GIF)	39,335.76	0.00	0.00 0.00	179,050.00	179,050.0
00 20 20 0	2009	25400	AF3650200 - Generation IV International Nuclear Forum (GIF)	0.00	179,050.00	0.00	0.00	728,489.8
AF-36-70-10-0	2008	25400		728,489.83	0.00	0.00	537,150.00	537,150.0
	2009	25400		0.00	537,150.00 0.00	0.00	0.00	75,091.1
AF-36-70-30-0	2008			75,091.12 0.00	214,860.00	0.00	214,860.00	214,860.0
	2009			550,000.00	0.00	0.00	0.00	550,000.0
AF-36-70-50-0	2008			3,897.21	0.00	0.00	0.00	3,897.2
AF-38-10-10-0 .	2008			0.00	0.00	0.00	0.00	0.0
•	2009			23,561.35	0.00	0.00	0.00	23,561.3
AF-38-20-10-0	2008			0.00	98,425.00	. 0.00	98,425.00	98,425.0
	2009			4,799.07	0.00	0.00	0.00	4,799.0
AF-38-30-10-0	2008			0.00	90,000.00	0.00	90,000.00	90,000.0
	2009			0.00	9,830.00	0.00	9,830.00	9,830.0
AF-38-50-00-0	2009			775,883.61	0.00	0.00	0.00	775,883.6
AF-58-05-00-0	2008			0.00	2,662,407.00	0.00	2,662,407.00	2,662,407.0
	2009 2008			2,533,33	0.00	0.00 "	0.00	2,533.3
AF-58-10-00-0						. 0.00	142,754.00	142,754.0